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**BEFORE THE BOARD OF PATENT APPEALS  
AND INTERFERENCES**

Application Number: 10/086,008  
Filing Date: February 28, 2002  
Appellant(s): MARTINO ET AL.

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Michael J. Medley  
For Appellant

**EXAMINER'S ANSWER**

This is in response to the appeal brief filed 07/16/07 appealing from the Office action  
mailed 02/16/07.

**(1) Real Party in Interest**

A statement identifying by name the real party in interest is contained in the brief.

**(2) Related Appeals and Interferences**

The examiner is not aware of any related appeals, interferences, or judicial proceedings which will directly affect or be directly affected by or have a bearing on the Board's decision in the pending appeal.

**(3) Status of Claims**

The statement of the status of claims contained in the brief is correct.

**(4) Status of Amendments After Final**

The appellant's statement of the status of amendments after final rejection contained in the brief is correct.

**(5) Summary of Claimed Subject Matter**

The summary of claimed subject matter contained in the brief is correct.

**(6) Grounds of Rejection to be Reviewed on Appeal**

The appellant's statement of the grounds of rejection to be reviewed on appeal is substantially correct. The changes are as follows:

### **NEW GROUND(S) OF REJECTION**

Claims 15-18 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

While the claims recite a series of steps or acts to be performed, a statutory “process” under 35 U.S.C. 101 must (1) be tied to another statutory category (such as a particular apparatus), or (2) transform underlying subject matter (such as an article or material) to a different state or thing (Reference the May 15, 2008 memorandum issued by Deputy Commissioner for Patent Examining Policy, John J. Love, titled “Clarification of ‘Processes’ under 35 U.S.C. 101”). The instant claims neither transform underlying subject matter nor positively tie to another statutory category that accomplishes the claimed method steps, and therefore do not qualify as a statutory process.

### **(7) Claims Appendix**

The copy of the appealed claims contained in the Appendix to the brief is correct.

### **(8) Evidence Relied Upon**

5,812,124	Eick	09-1998
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### **(9) Grounds of Rejection**

The following ground(s) of rejection are applicable to the appealed claims:

Claims 1-19 are rejected under 35 U.S.C. 102(b) as being anticipated by Eick et al. (Eick) (5,812,124).

As to claim 1, Eick discloses a device for displaying search results (Figs. 2 and 16-23), comprising:

at least one user interface and supporting processor connected thereto (Fig. 3), said user interface having at least one control and at least one output (column 4, lines 20-62);

said processor being configured to accept search results (column 9, line 51-column 10, line 55);

said user interface being adapted to apply control signals responsive to user input indicating a first feature of each of said search results (column 4, lines 20-62 and column 9, line 51-column 10, line 55);

said processor being configured to generate display data including multiple symbols (letters representing first letters in program titles; Figs. 16-22 and column 9, line 51-column 10, line 55) corresponding to respective one of said search results such that ones of said search results having a same value of said first feature are aggregated such that said ones are displayed as a single symbol (wherein all programming which begins with a particular letter is aggregated under that letter; Figs. 16-22 and column 9, line 51-column 10, line 55), wherein said ones of said search results are expandable such that symbols that represent each of said ones are displayed upon receipt of a user selection of said single symbol (user selection of the letter to view the program listing; see Fig. 19-22; column 9, line 51-column 10, line 55);

said processor being adapted to output said symbols for display by said user interface in the format of a list extending along a first axis of a display area (see Fig. 19-22; column 9, line 51-column 10, line 55).

As to claim 2, Eick discloses wherein said display data including symbols corresponding to multiple instances of a subset of said search results having a second feature and the same value of said first feature (titles with more than one occurrence; see Fig. 22; column 10, lines 5-19), each of said subset of said search results being selectively displayable by said user interface developed along a second axis of said display area (channel vs. time display indicating all of the occurrences of NOVA; column 10, lines 15-55).

As to claim 3, Eick discloses wherein said subset of said search results is displayed by said user interface along said second axis of said display area by indicating said symbol corresponding to said subset of said search results (column 10, lines 15-55).

As to claim 4, Eick discloses wherein said first axis defines a column (see Figs. 18-22).

As to claim 5, Eick discloses wherein said ones are displayed by said user interface along a second axis of said display area on a display control (see Fig. 19-23; column 9, line 51-column 10, line 55).

As to claim 6, Eick discloses wherein said display control permits selective expansion of details of said ones (selection of a symbol to access more information about items located under that symbol; see Fig. 19-23; column 9, line 51-column 10, line 55).

As to claim 7, Eick discloses wherein said ones may be selectively aggregated about chosen second features (aggregated together for having the same title and different times; see Fig. 22; column 10, lines 5-19).

As to claim 8, Eick discloses wherein said search results are broadcast events (broadcast television programs; column 4, lines 10-62).

As to claim 9, Eick discloses wherein said first feature includes title (searching by title; column 9, line 51-column 10, line 55).

As to claim 10, Eick discloses a device for displaying search results (Figs. 2 and 16-23), comprising:

at least one user interface and supporting processor connected thereto (Fig. 3), said user interface having at least one control and at least one output (column 4, lines 20-62);

said processor being configured to accept search results (column 9, line 51-column 10, line 55), said search results including broadcast events (broadcast television programs; column 4, lines 10-62);

said user interface being adapted to apply control signals responsive to user input indicating a first feature of each of said search results (column 4, lines 20-62 and column 9, line 51-column 10, line 55);

said processor being configured to selectively aggregate a subset of each of said search results having the first feature in common such that ones of said search results having a same value of said first feature are aggregated such that said ones are displayed as a single symbol (wherein all programming which begins with a particular letter is aggregated under that letter; Figs. 16-22 and column 9, line 51-column 10, line 55), said subset being expandable such that symbols representing each search result within the subset are displayed together with the single symbol upon user selection said single symbol (user selection of the letter to view the program listing; see Fig. 19-22; column 9, line 51-column 10, line 55).

As to claim 11, Eick discloses wherein the subset of said search results having a second feature and commonality with respect to the first feature (titles with more than one occurrence; see Fig. 22; column 10, lines 5-19), each of said subset of said search

results being selectively displayable by said user interface developed along a second axis of said display area (channel vs. time display indicating all of the occurrences of NOVA; column 10, lines 15-55).

As to claim 12, Eick discloses wherein said subset of said search results is displayed by said user interface along said second axis of said display area by indicating said symbol corresponding to said subset of said search results (column 10, lines 15-55).

As to claim 13, Eick discloses wherein said first axis is vertical (see Figs. 18-22) and said second is horizontal (Fig. 23).

As to claim 14, Eick discloses wherein said subset of said search results is displayed by said user interface along a second axis of said display area on a display control (see Fig. 19-23; column 9, line 51-column 10, line 55).

As to claim 15, Eick discloses a method of displaying search results (Figs. 2 and 16-23), comprising:

receiving user data indicating a first feature about which to consolidate search results (column 4, lines 20-62 and column 9, line 51-column 10, line 55), wherein said search results are obtained through utilization of a textual query (alphabetical text searching of program titles; Figs. 16-23 and column 9, line 51-column 10, line 55);

receiving user data indicating a second feature about which to consolidate said search results (user selection of a next letter; Fig. 21-22; column 9, line 51-column 10, line 55);

identifying search results having same values of said first feature and said second feature (see Figs. 20-22; column 9, line 51-column 10, line 55);

generating a display wherein each of said search results having said same values is depicted by a single symbol (see Figs. 16-22); and

expanding said each of said search results such that additional information about said each is displayed in response to said single symbol being indicated by a user (see Fig. 19-23; column 9, line 51-column 10, line 55).

As to claim 16, Eick discloses wherein said search results include broadcast events (broadcast television programs; column 4, lines 10-62).

As to claim 17, Eick discloses wherein said first feature and said second feature include title (searching by letters within the title; column 9, line 51-column 10, line 55).

As to claim 18, Eick discloses wherein the textual query is for a program title (column 9, line 51-column 10, line 55).

As to claim 19, Eick discloses wherein said processor is configured to expand said each of said search results in a hierarchical manner in response to said single symbol indicated by said user (see Fig. 19-23; column 9, line 51-column 10, line 55).

#### **(10) Response to Argument**

On pages 7-8, appellant argues that Eick discloses sequential filtering and fails to disclose aggregating search results.

In response, Eick discloses wherein a user will initiate a search of movies by title (column 9, lines 51-61). In response to the user input, the system will output a display with search results aggregated by the first letter of the title. As seen in Fig. 19, all of the titles beginning with the letter 'N' are aggregated together. Only the letter 'N' itself is displayed. Upon selection of this letter, the results corresponding to this aggregated grouping are then expanded and displayed (see Fig. 20; column 10, lines 1-19). Thus, Eick clearly meets the current claim limitations, as search results corresponding to a same value of a first feature (beginning with the same first letter) are aggregated such that symbols representing the results are displayed and expandable upon selection by the user (wherein multiple first letters are displayed, and the aggregation of programs beginning with a particular letter are displayed upon user selection).

Appellant's arguments are incorrect, as appellant's arguments are incorrectly interpreting the first feature of Eick. The user input of Eick, indicating a first feature, is not directed to a particular letter, but to the desire for an alphabetical title search (column 9, lines 57-59). In response to this input from the user, the system will

aggregate the programs around their corresponding first letter (as seen in Fig. 7). The user selection of a particular letter, such as ‘N’, then results in the expanding of the aggregated search result.

Appellant’s arguments that Eick fails to disclose aggregating search results are incorrect, as Eick aggregates all of the results of the title search requested by the user. The identified titles are grouped together based upon their corresponding first letters. As the claims merely require “search results”, Eick’s title search clearly meets this limitation. Details directed towards a particular type of search and search results, as desired by appellant, are not required by the current claim language.

In response to appellant’s arguments on pages 8-10, Eick specifically discloses wherein the subset of the search results having a second feature (having the letter ‘O’ as the second letter in the title) and the same value of said first feature (having the letter ‘N’ as the first letter) are displayed along a second axis (such as the horizontal axis, see Fig. 23), as the specific subset of NOVA programming is displayed in relation to the time and day that the program occurs (Fig. 23; column 10, lines 20-44). Thus, the multiple instances of the NOVA program are clearly displayed along a “second axis” of the display.

In response to appellant’s arguments on pages 10-11, regarding claim 7, it is noted that Eick specifically discloses wherein programs are aggregated around a first feature (first letter in the program title) and then further aggregated around a second

feature (second letter in the program title) (see Figs. 19, 21 and 22). The programs are not aggregated due to the user selecting the particular letter, as appellant implies, but are aggregated by the system according to program title. User selection of a particular letter merely expands the aggregated listings for display. The aggregation of the programming, and the selection of the features, is a result of the initiation of the program title search (column 9, lines 55-58). User selection of an alphabetical program listing results in the aggregated search results. User navigation and selection of particular letters within the guide was not relied upon to teach the aggregation, but merely the expansion and display of the already aggregated results. The displayed listing in Figs. 19-22 are simply the end result of prior aggregation, as the programming has already been separated based upon their corresponding titles.

It is the user input selection of an alphabetical title search which selects the first and second features to be utilized in the aggregation. For the alphabetical listing, these features are the first letter and second letter of the program titles.

#### **(11) Related Proceeding(s) Appendix**

No decision rendered by a court or the Board is identified by the examiner in the Related Appeals and Interferences section of this examiner's answer.

For the above reasons, it is believed that the rejections should be sustained.

This examiner's answer contains a new ground of rejection set forth in section (9) above. Accordingly, appellant must within **TWO MONTHS** from the date of this answer

exercise one of the following two options to avoid *sua sponte* **dismissal of the appeal** as to the claims subject to the new ground of rejection:

(1) **Reopen prosecution.** Request that prosecution be reopened before the primary examiner by filing a reply under 37 CFR 1.111 with or without amendment, affidavit or other evidence. Any amendment, affidavit or other evidence must be relevant to the new grounds of rejection. A request that complies with 37 CFR 41.39(b)(1) will be entered and considered. Any request that prosecution be reopened will be treated as a request to withdraw the appeal.

(2) **Maintain appeal.** Request that the appeal be maintained by filing a reply brief as set forth in 37 CFR 41.41. Such a reply brief must address each new ground of rejection as set forth in 37 CFR 41.37(c)(1)(vii) and should be in compliance with the other requirements of 37 CFR 41.37(c). If a reply brief filed pursuant to 37 CFR 41.39(b)(2) is accompanied by any amendment, affidavit or other evidence, it shall be treated as a request that prosecution be reopened before the primary examiner under 37 CFR 41.39(b)(1).

Extensions of time under 37 CFR 1.136(a) are not applicable to the TWO MONTH time period set forth above. See 37 CFR 1.136(b) for extensions of time to reply for patent applications and 37 CFR 1.550(c) for extensions of time to reply for ex parte reexamination proceedings.

Respectfully submitted,  
/James Sheleheda/

Examiner, Art Unit 2424

**A Technology Center Director or designee must personally approve the new ground(s) of rejection set forth in section (9) above by signing below:**

/Timothy P Callahan/

Director, Technology Center 2400

Conferees:

Chris Kelley

/Chris Kelley/

Supervisory Patent Examiner, Art Unit 2424

Vivek Srivastava

/Vivek Srivastava/

Supervisory Patent Examiner, Art Unit 2426